



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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1123/04  
AW

In re Application of:

William D. NORCOTT

Application No.: 09/863,419

Examiner: Ali, Mohammad

Filed: May 24, 2001

Group Art Unit: 2177

Attorney Docket No.: 50277-1005

Client Docket No.: OID-2001-003-01

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DEC 19 2003

Technology Center 2100

For: ASYNCHRONOUS CHANGE CAPTURE FOR DATA WAREHOUSING

Assistant Commissioner for Patents  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

- ☐ Under 37 CFR § 1.97(b): (1) Within three months of filing of national application; (2) Within three months of date of entry of the national stage as set forth in § 1.491 in an international application; (3) Before mailing of a first Office action on the merits; or (4) Before mailing of a first Office action after the filing of a request for continued examination under § 1.114.
- ☒ Under 37 CFR § 1.97(c): After the period specified in § 1.97(b) but before the mailing date of either a final action under § 1.113 or a notice of allowance under § 1.311, whichever occurs first, and accompanied by either:
- ☐ (1) A statement under § 1.97(e) as set forth below, or
- ☒ (2) A \$180.00 fee under 37 CFR 1.17(p).
- ☐ Under 37 CFR § 1.97(d): After the period specified in § 1.97(c) but on or before payment of the issue fee, and accompanied by:
- ☐ (1) A statement under § 1.97(e) as set forth below,
- ☐ (2) A petition requesting consideration of the IDS, and
- ☐ (3) A \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).

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Statement under 35 CFR § 1.97(e):

- ☒ Not necessary, because this IDS is filed under § 1.97(b) or § 1.97(c)(2).
- ☐ Each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- ☐ No item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in § 1.56(c) more than three months prior to the filing of this IDS.

Form PTO 1449–Information Disclosure Citation is attached with copies of patents, publications, or other information of which Applicant is aware, which may be material to the examination of this application, and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be considered and made of record in this application.

Respectfully submitted,

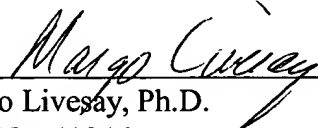
DITTHAVONG & CARLSON, P.C.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Alexandria, VA 22313-1450.

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